

Rec'd PCT/PTO 03 MAR 2006

03/01/2006 11:52 6102705090

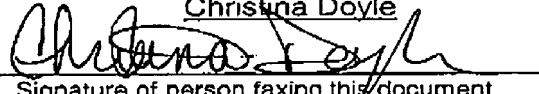
GLAXOSMITHKLINE

PAGE 01/06

**CERTIFICATION OF FACSIMILE TRANSMISSION**

I hereby certify that this paper is being facsimile transmitted to the Commissioner for Patents; Missing Parts at (571) 273-3201 on 1 March 2006 by

Christina Doyle



Signature of person faxing this document  
Total Pages: 6

Attorney Docket No.: P51339

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant: Boehm, et al.  
Serial No.: 10/511,770  
Filed: 18 April 2003  
For: NOVEL COMPOUNDS

**RECEIVED**

9 MAR 2006

Mail Stop: PCT  
Commissioner for Patents  
Office of PCT Legal Administration  
P.O. Box 1450  
Alexandria, VA 22313-1450

Legal Staff  
International Division

**RESPONSE TO NOTIFICATION OF A DEFECTIVE RESPONSE**

Sir:

This facsimile is in response to the "Notification of a Defective Response" mailed on 10 February 2006, which indicates that the Oath or Declaration of the inventors for the subject patent application is not part of the filewrapper. A copy of the above notice is attached.

Also enclosed, please find a Declaration for the subject patent application, which has been fully executed by the inventors of the subject patent application in compliance with 37 C.F.R. 1.497(a), and (b).

Per the 10 February 2006 Notification of a Defective Response, the \$130.00 surcharge for late filing of the fully executed declaration has already been charged to our deposit account as authorized. Please charge any additional requisite fees for the filing of the enclosed Declaration or credit any over-payment to Deposit Account No. 19-2570.

Respectfully submitted,



Dara L. Dinner  
Attorney for Applicants  
Registration No. 33,680

GLAXOSMITHKLINE  
Corporate Intellectual Property - UW2220  
P.O. Box 1539  
King of Prussia, PA 19406-0939  
Phone (610) 270-5023 Fax (610) 270-5090

**BEST AVAILABLE COPY**



## UNITED STATES PATENT AND TRADEMARK OFFICE

Commissioner for Patents  
United States Patent and Trademark Office  
P.O. Box 1450  
Alexandria, VA 22313-1450  
www.uspto.gov

10 FEB 2006 RECEIVED  
GLAXOSMITHKLINE

2006 FEB 16 P 1:44

1-571-251-2201

Dara L Dinner  
GLAXOSMITHKLINE  
Corporate Intellectual Property - UW2220  
Post Office Box 1539  
King of Prussia, PA 19406-0939

In re Application of  
BOEHM, Jeffrey C. et al.  
Application No.: 10/511,770  
PCT No.: PCT/US03/12127  
Int. Filing Date: 18 April 2003  
Priority Date: 19 April 2002  
Docket No.: P51339  
For: NOVEL COMPOUNDS

## NOTIFICATION

OF A

## DEFECTIVE RESPONSE

This decision is in response to applicants' "Response to Notification of Missing Requirements," filed in the United States Patent and Trademark Office on 21 September 2005.

On 23 June 2005, the Office mailed Notification of Missing Requirements (Form PCT/DO/EO/905) indicating that an oath or declaration in compliance with 37 CFR 1.497(a)-(b) was required. It indicated that the declaration submitted by applicants did not identify the application to which it was directed and required the fee for late submission of the oath or declaration.

Applicants argue that they submitted a declaration in the international phase and it is therefore identified by the international application number and filing date. Applicants are not correct. The fee for late furnishing of the oath or declaration will be charged to deposit account no. 19-2570, as authorized.

The declaration submitted in the international phase under Rule 4.17 does not satisfy 37 CFR 1.497(a)-(b), as it was submitted under Rule 26ter, but did not identify the application to which it was directed. The text of the declaration reads "This application is directed to international application No. PCT/ (If furnishing the declaration pursuant to Rule 26ter)." Applicants submitted the declaration pursuant to Rule 26ter, but did not fill out the declaration for submission under Rule 26ter.

An oath or declaration of the inventors in compliance with 37 CFR 1.497(a)-(b) is required within a time limit of **ONE (1) MONTH** from the mail date of this Notification or within the time remaining in the response set forth in the Notification of Missing Requirements (Form PCT/DO/EO/905), whichever is longer. No extension of this time limit may be granted under 37 CFR 1.136(a), but the period for response set in the Notification of Missing Requirements may be extended up to a maximum of five months. **FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.** Any request for reconsideration of this decision should include a cover letter entitled "Response to Notification of Defective Response."

Application No.: 10/511,770

-2-

Any further correspondence with respect to this matter should be addressed to the Mail Stop PCT, Commissioner for Patents, Office of PCT Legal Administration, P.O. Box 1450, Alexandria, Virginia 22313-1450, with the contents of the letter marked to the attention of the Office of PCT Legal Administration.



Erin P. Thomson  
Attorney Advisor  
PCT Legal Administration

Telephone: 571-272-3292

Facsimile: 571-273-0459